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11321-P012USD1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Smalley et al.
Serial No.: 10/032,933
Filed: December 28, 2001
Confirmation No.: 9922
Art Unit: 1754
Examiner: Stuart L. Hendrickson
Title: METHOD FOR PURIFICATION OF
AS-PRODUCED SINGLE-WALL CARBON
NANOTUBES

**CERTIFICATE OF MAILING
UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, April 7, 2004.

A handwritten signature in cursive script, appearing to read "Gracie Solis".

GRACIE SOLIS

**SUBMISSION OF EXHIBITS TO AMENDMENT
UNDER 37 C.F.R. § 1.111 FILED APRIL 5, 2004**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

On April 5, 2004, Applicant responded to the Office Action in the present Application having a mailing date of January 5, 2004 and filed its Amendment Under 37 C.F.R. § 1.111 (the "§ 1.111 Amendment"). Upon recent review of the § 1.111 Amendment, Applicant realized it had inadvertently failed to attach each of Exhibits A, B and C as specified therein. § 1.111 Amendment at pages 7 and 9. Accordingly, Applicant is submitting herewith these three exhibits.

Applicant does not believe a fee is necessary for this submission of these Exhibits. In the event a fee is required, the Assistant Commissioner of Patents is authorized to charge any

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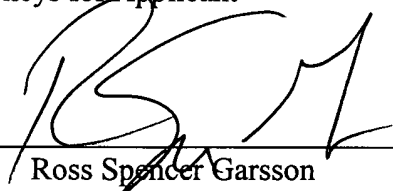
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necessary fees to the Winstead Sechrest & Minick P.C. deposit account number 23-2426 (referencing 11321-P012USD1).

Applicant respectfully requests that the Examiner call Applicant's attorney at the below listed number if the Examiner believes that such a discussion would be helpful in resolving any remaining problems.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.
Attorneys for Applicant

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